# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT

Applicant: Roger P. Jackson

Date: 2/20/04

For: CLOSURE FOR ROD RECEIVING ORTHOPEDIC IMPLANT HAVING A PAIR

OF SPACED APERTURES FOR REMOVAL

Kansas City, Missouri

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### Sir:

Applicant is aware of the following references listed on the attached PTO-1449, copies of which are enclosed:

### U. S. Patents

Patent No.	<u>Date</u>	<u>Patentee</u>
6,551,323	04/03	Doubler, et al.
6,315,564	11/01	Levisman
6,296,642	10/2/01	Morrison, et al.
6,254,146	07/01	Church
6,235,034	05/01	Bray
6,102,913	8/15/00	Jackson

Roger P. Jackson

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6,077,262	6/20/00	Schlapfer, et al.
6,074,391	6/13/00	Metz-Stavenhagen, et
		al.
6,063,090	5/16/00	Schlapfer
6,059,786	5/9/00	Jackson
6,004,349	12/21/99	Jackson
6,001,098	12/14/99	Metz-Stavenhagen, et
		al.
5,944,465	8/31/99	Janitzki
5,782,833	7/21/98	Haider
5,741,254	4/21/98	Henry, et al.
5,733,286	3/31/98	Errico, et al.
5,725,527	3/10/98	Biedermann, et al.
5,697,929	12/16/97	Mellinger
5,683,390	11/4/97	Metz-Stavenhagen, et
		al.
5,641,256	6/24/97	Gundy
5,607,304	3/4/97	Bailey, et al.
5,601,553	2/11/97	Trebing, et al.
5,545,165	8/13/96	Biedermann, et al.

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5,427,418	6/27/95	Watts
5,358,289	10/25/94	Banker, et al.
5,321,901	6/21/94	Kelly
5,176,483	1/5/93	Baumann, et al.
5,217,497	6/8/93	Mehdian
5,092,635	3/3/92	DeLange, et al.
5,129,388	7/14/92	Vignaud, et al.
5,154,719	10/13/92	Cotrel
5,022,791	6/11/91	Isler
5,005,562	4/9/91	Cotrel
4,707,001	11/17/87	Johnson
4,703,954	11/87	Ortloff, et al.
4,600,224	07/86	Blose
4,373,754	2/15/83	Bollfrass, et al.
3,640,416	2/8/72	Temple

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Patent No.	<u>Date</u>	Country
WO 92/03100	5/03/92	PCT

Roger P. Jackson

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#### OTHER DOCUMENTS

Spine, Lipcott, Williams & Wilkins, Inc. Vol. 24, No. 15, p.1495 Brochure of Tyco/Healthcare/Surgical Dynamics on Spiral Radius 90D, Publication Date: September, 2001, pgs. 1-8.

The above-listed references are, in the belief and opinion of the undersigned, the closest art of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

Respectfully submitted,

2-/8-04 Date

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449/PTO Complete if Known INFORMATION DISCLOSURE Application Number STATEMENT BY APPLICANT Filing Date First Named Inventor Roger P. Jackson (Use as many sheets as necessary) Art Unit **Examiner Name** Sheet of 4 Attorney Docket Number 10,208

	U. S. PATENT DOCUMENTS					
Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
		US-6,551,323	04/03	Doubler, et al.		
		US-6,315,564	11/01	Levisman		
·		US-6,296,642	10/2/01	Morrison, et al.		
		US-6,254,146	07/01	Church		
		US-6,235,034	05/01	Bray		
		US-6,102,913	8/15/00	Jackson		
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		US-6,059,786	5/9/00	Jackson		
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		US-5,944,465	8/31/99	Janitzki		

	FOREIGN PATENT DOCUMENTS					
Examiner Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*	
Initials	No.¹ Country Code ³ Number⁴ Kind Code³ (if known) Date MM-DD-YY		MM-DD-YYYY		Document Document	
		WO 92/03100	5/3/92	РСТ		

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the

two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is

attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute	Substitute for form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE				Application Number	
STATEMENT BY APPLICANT			Y APPLICANT	Filing Date	
	(Use as many sheets as necessary)		First Named Inventor	Roger P. Jackson	
			Art Unit		
		Examiner Name			
Sheet	2	of	4	Attorney Docket Number	10,208

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		US-5,782,833	7/21/98	Haider	
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		US-5,601,553	2/11/97	Trebing, et al.	
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		US-5,427,418	6/27/95	Watts	
		U\$-5,358,289	10/25/94	Banker, et al.	
		US-5,321,901	6/21/94	Kelly	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet	3	of	4	Attorney Docket Number	10,208

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		US-5,176,483	1/5/93	Baumann, et al.	
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		US-5,092,635	3/3/92	DeLange, et al.	
		US-5,129,388	7/14/92	Vignaud, et al.	
		US-5,154,719	10/13/92	Cotrel	
		US-5,022,791	6/11/91	Isler	·
		US-5,005,562	4/9/91	Cotrel	
		US-4,707,001	11/17/87	Johnson	
		US-4,703,954	11/87	Ortloff, et al.	
		US-4,600,224	07/86	Blose	
		US-4,373,754	2/15/83	Bolifrass, et a I.	
		US-3,640,416	2/8/72	Temple	

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers(s), publisher, city and/or country where published.	Т 2		
		Spine, Lipcott, Williams & Wilkins, Inc., Vol. 24, No. 15, p. 1495			
		Brochure of Tyco/Healthcare/Surgical Dynamics on Spiral Radius 90D, Publication Date: September, 2001, pgs. 1-8.			
		·			
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